07/02/03

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 1980/US

Total Pages in this Submission

#### TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an									
invention entitled:									
STEERABLE AND SHAPABLE CATHETER EMPLOYING FLUID FORCE									
40 <u>**</u>									
and invented by:									
Guy Vanney									
If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:									
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:									
Which is a:  ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:									
Which is a:									
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:									
Enclosed are: Application Elements									
1. 🗵 Filing fee as calculated and transmitted as described below									
2. Specification having pages and including the following:									
a. 🗵 Descriptive Title of the Invention									
b.   Cross References to Related Applications (if applicable)									
c.   Statement Regarding Federally-sponsored Research/Development (if applicable)									
d.   Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix									
e. 🗵 Background of the Invention									
f. 🗵 Brief Summary of the Invention									
g.   Brief Description of the Drawings (if filed)									
h. 🗵 Detailed Description									
i. ⊠ Claim(s) as Classified Below									
j. 🛮 Abstract of the Disclosure									

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

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#### Application Elements (Continued)

3.	X	Drawing(s) (when necessary as prescribed by 35 USC 113)								
	a.		ormal Number of Sheets15							
	b.		formal Number of Sheets							
4.	$\boxtimes$	Oath	or Declaration							
			ewly executed (original or copy)							
		—								
			Vith Power of Attorney   Without Power of Attorney							
	d.	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).								
5.		Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.								
6.		CD F	OM or CD-R in duplicate, large table or Computer Program (Appendix)							
7.	X		eation Data Sheet (See 37 CFR 1.76)							
8.		Nucle	otide and/or Amino Acid Sequence Submission (if applicable, all must be included)							
	a.		Computer Readable Form (CRF)							
	b.		Specification Sequence Listing on:							
			.   CD-ROM or CD-R (2 copies); or							
			i. 🔲 Paper							
	C.		Statement(s) Verifying Identical Paper and Computer Readable Copy							
			Accompanying Application Parts							
9.		Assi	nment Papers (cover sheet & document(s))							
10.		37 C	FR 3.73(B) Statement (when there is an assignee)							
11.		English Translation Document (if applicable)								
12.		Information Disclosure Statement/PTO-1449								
13.		Preliminary Amendment								
14.	$\boxtimes$	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)								
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)								
16.	X	☑ Certificate of Mailing								
			First Class							

### UTILITY PATENT APPLICATION TRANSMITTAL (Larg Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages in this Submission

Docket No.

1980/US

	,	
<del>,</del>		Acc mpanying Application Parts (Continued)
17.		Additional Enclosures (please identify below):
		Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)
18.		Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.
		Warning
		An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

#### UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 1980/US

Total Pages in this Submission

#### Fee Calculation and Transmittal

CLAIMS AS FILED									
For	#Filed	#Allowed	#Extra		Rate	Fee			
T tal Claims	20	- 20 =	0	х	\$18.00	\$0.00			
Indep. Claims	4	- 3 =	1	х	\$84.00	\$84.00			
Multiple Dependen	\$0.00								
munipio Doponiuo.	<b>EE</b> \$750.00								
OTHER FEE (spe	\$0.00								
					TOTAL FILING F	<b>EE</b> \$834.00			

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\$834.00

to cover the filing fee is enclosed.

☑ The Director is hereby authorized to charge and credit Deposit Account No. as described below.

04-1415

Charge the amount of

as filing fee.

☑ Credit any overpayment.

☑ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.

☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance,

pursuant to 37 C.F.R. 1.311(b).

Signature

Dated: July 2, 2003

Rep n. Ref: 07/09/2003 HGUTEHA1 0012320300 DAW 041415 Nane/Number:10613796

\$84.00 CR

Gregory P. Durbin

Attorney Registration No. 42,503

D rsey & Whitney LLP Customer No. 20686

cc:

FC: 9204

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Guy Vanney

Appn. No.:

not yet assigned

Filed:

herewith

For: STEERABLE AND SHAPABLE

CATHETER EMPLOYING FLUID FORCE

## **CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

I hereby certify that this

- 1. Certificate of Mailing by "Express Mail" (37 CFR 1.10);
- Utility Application Transmittal (Large Entity);
- Utility Patent Application (41 pages);
- 4. Fifteen (15) sheets of formal drawings;
- 5. Declaration for Patent Application, executed;
- 6. Application Data Sheet;
- 7. \$834.00 check; and
- 8. return postcard,

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to MAIL STOP PATENT APPLICATION, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on July 2, 2003.

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EV156968773US

("Express Mail" Mailing Label Number)